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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B04-024-1

First Named Inventor or Application Identifier Steinhardt et al.

Title Cornea Preservation Medium

Express Mail Label No. EV324953248US

EV324953248US

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X *Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. X Applicant claims small entity status (see 37 CFR 1.27)
3. X Specification (Total Pages 14)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets)
5. X Oath or Declaration (Total Pages 1)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. **Nucleotide and/or Amino Acid Sequence Submission**

(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
b. ☐ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper
c. ☐ Statement verifying identity of above copies
d. ☐ Request to use CRF from another application

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
☐ b. Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: _____

17. **Priority**

This application claims priority to prior application No: _____

Prior application information: Examiner _____ Group Art Unit _____
The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. **Correspondence Address**

☒ Customer Number or Bar Code Label 23379
(Insert Customer No. or Attach Bar Code Label here)

or

☒ Correspondence Address Below

NAME Richard Aron Osman
SCIENCE & TECHNOLOGY LAW GROUP

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CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman Registration No: 36,627

Signature:  Date: December 17, 2003

16569 U.S. PTO
121703

PTO/SB/17(09-00)

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FEE TRANSMITTAL EFFECTIVE OCTOBER 1, 2003

Fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) \$425.00

Complete if Known:

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Steinhardt et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. B04-024-1

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:

☒ Applicant claims small entity status. See 37 CFR 1.27

Deposit Account Number 19-0750

Deposit Account Name Science & Technology Law Group

2. ☒ Payment Enclosed

☒ Check

☐ Money Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|-------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility application filing fee | <u>385.</u> |
| 1002 | 340 | 2002 | 175 | Design application filing fee | <u> </u> |
| 1003 | 530 | 2003 | 265 | Plant filing fee | <u> </u> |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | <u> </u> |
| 1005 | 160 | 2005 | 80 | Provisional application filing fee | <u> </u> |

SUBTOTAL (1) \$ 385.00

2. CLAIMS

| | Extra | | Fee from below | | Fee Paid |
|-----------------------------|--------|----------|----------------|-------------------|--------------|
| Total Claims <u>13</u> | - 20 = | <u>0</u> | X | <u> </u> | = <u>0.0</u> |
| Independent Claims <u>1</u> | - 3 = | <u>0</u> | X | <u> </u> | = <u>0.0</u> |
| Multiple Dependent Claims | | <u>0</u> | X | <u> </u> | = <u>0.0</u> |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of twenty | <u>0</u> |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | <u>0</u> |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim | <u>0</u> |
| 1204 | 86 | 2204 | 43 | Reissue independent claims over original patent | <u>0</u> |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent | <u>0</u> |

SUBTOTAL (2) \$ 0.0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>F e (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for response within first month | |
| 1252 | 420 | 2252 | 210 | Extension for response within second month | |
| 1253 | 950 | 2253 | 475 | Extension for response within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for response within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for response within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive unavoidably abandoned application | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive unintentionally abandoned application | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1809 | 770 | 2809 | 385 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 2810 | 770 | 1810 | 385 | For each additional invention to be examined (see 37 CFR 1.129(a)) | |
| Other fee (specify) _____ | | | | | |
| Other fee (specify) _____ | | | | | |

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Richard Aron Osman

Signature _____

Date December 17, 2003

Reg. Number 36,627

Deposit Account User ID _____

(complete if applicable)